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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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|   |   |  |                   |
|---|---|--|-------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing)   |   | Application Number   | 10/057,846        |
|   |   | Filing Date  | January 25, 2002  |
|   |   | First Named Inventor   | ANDERSEN, RAYMOND |
|   |   | Group Art Unit   | 1633              |
|   |   | Examiner Name  | N/A               |
| Total Number of Pages in This Submission  | 8   | Attorney Docket Number   | SMAR-020          |
| <b>ENCLOSURES (check all that apply)</b>  |   |  |                   |
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input checked="" type="checkbox"/> Declaration and Power of Attorney<br><input checked="" type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please<br>identify below): | Remarks           |
| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>   |   |  |                   |
| Firm<br>or<br>Individual Name   | ROBERT C. HALL, Reg. No. 39/209   |  |                   |
| Signature   |   |  |                   |
| Date  | 07/10/02  |  |                   |

|  |           |     |         |
|--|-----------|-----|---------|
| <b>CERTIFICATE OF MAILING</b>  |           |     |         |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 7-11-02 |           |     |         |
| Typed or printed name  | Teri Muir |     |         |
| Signature  |           | Dat | 7-11-02 |

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PTO/SB/17 (10-01)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 947.00)

## Complete if Known

Application Number **10/057,846**  
Filing Date **January 25, 2002**  
First Named Inventor **ANDERSEN, RAYMOND**  
Examiner Name **N/a**  
Group Art Unit **1633**  
Attorney Docket No. **SMAR-020**

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number **50-0815**  
Deposit Account Name **Bozicevic, Field & Francis LLP**  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant Claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 2. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description        | Fee Paid      |
|-----------------------|-----------------------|------------------------|---------------|
| 101                   | 201                   | Utility filing fee     | 370.00        |
| 106                   | 206                   | Design filing fee      |               |
| 107                   | 207                   | Plant filing fee       |               |
| 108                   | 208                   | Reissue filing fee     |               |
| 114                   | 214                   | Provisional filing fee |               |
| <b>SUBTOTAL (1)</b>   |                       |                        | <b>370.00</b> |

### 1. EXTRA CLAIM FEES

| Total Claims        | Extra Claims | Fee from below | Fee Paid |
|---------------------|--------------|----------------|----------|
| 50 - 20**           | = 30 x       | 9.00           | = 270.00 |
| Indep. Claims 4-3** | = 1 x        | 42.00          | = 42.00  |
| Multiple Dependent  |              |                |          |

| Large Entity Fee Code         | Small Entity Fee Code | Fee Description  |
|-------------------------------|-----------------------|--|
| 103                           | 203                   | Claims in excess of 20                                     |
| 102                           | 202                   | Independent claims in excess of 3                          |
| 104                           | 204                   | Multiple dependent claim, if not paid                      |
| 109                           | 209                   | ** Reissue independent claims over original patent         |
| 110                           | 210                   | ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2) \$ 312.00</b> |                       |  |

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

| Large Entity Fee Code | Small Entity Fee Code | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105                   | 205                   | Surcharge - late filing fee or oath  | 65.00    |
| 127                   | 227                   | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                   | 139                   | Non-English specification  |          |
| 147                   | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                   | 920*                  | Requesting publication of SIR prior to Examination action                  |          |
| 113                   | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | Extension for reply within first month                                     |          |
| 116                   | 400                   | Extension for reply within second month                                    | 200.00   |
| 117                   | 920                   | Extension for reply within third month                                     |          |
| 118                   | 1,440                 | Extension for reply within fourth month                                    |          |
| 128                   | 1,960                 | Extension for reply within fifth month                                     |          |
| 119                   | 320                   | Notice of Appeal   |          |
| 120                   | 320                   | Filing a brief in support of an appeal                                     |          |
| 121                   | 280                   | Request for oral hearing   |          |
| 138                   | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | Petition to revive - unavoidable   |          |
| 141                   | 1,280                 | Petition to revive - unintentional   |          |
| 142                   | 1,280                 | Utility issue fee (or reissue)   |          |
| 143                   | 460                   | Design issue fee   |          |
| 144                   | 620                   | Plant issue fee  |          |
| 122                   | 130                   | Petitions to the Commissioner  |          |
| 123                   | 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 126                   | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581                   | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146                   | 740                   | For each additional invention to be examined (37 CFR § 1.129(a))           |          |
| 149                   | 740                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                   | 740                   | Request for Continued Examination (RCE)                                    |          |
| 169                   | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 265.00)**

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) **ROBERT C. HALL** Registration No. (Attorney/Agent) **39,209** Telephone **(850) 327-3400**  
Signature *[Signature]* Date **07/10/02**

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/057,846         | 01/25/2002          | Calvin Roskelley      | SMAR-020               |

24353  
BOZICEVIC, FIELD & FRANCIS LLP  
200 MIDDLEFIELD RD  
SUITE 200  
MENLO PARK, CA 94025

CONFIRMATION NO. 1574

## FORMALITIES LETTER



\*OC000000007690828\*

Date Mailed: 03/21/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$312.
  - \$270 for 30 total claims over 20.
  - \$42 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 747.

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A copy of this notice **MUST** be returned with the reply.Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/19/2002 MBELETE1 00000014 500815 10057846

|           |           |
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| 02 FC:201 | 370.00 CH |
| 03 FC:205 | 65.00 CH  |
| 04 FC:202 | 42.00 CH  |
| 05 FC:203 | 270.00 CH |